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Texas State University-San Marcos

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CHAPTER I

INTRODUCTION TO THE GUIDE

Purpose of the Guide

This guide provides an overview of the process to obtain a master’s (thesis-option) or doctoral degree at Texas State University-San Marcos. The guide also provides details for the preparation and submission of a thesis or dissertation at Texas State. The guide addresses certain style and formatting requirements to follow. Recent style manuals (i.e. Turabian, APA, MLA, etc.) and/or scholarly journals in the student’s major discipline may be consulted for style material not included in the guide. Only theses and dissertations formatted as specified in this guide will be accepted by the Graduate College.

Revisions to the Guide

Texas State University-San Marcos reserves the right to make changes to the requirements in this guide. If changes are considered necessary, they will become effective immediately and will apply to both prospective students and those already enrolled. It is not recommended for current students to use old manuals, previous theses, or previous dissertations when preparing a current
document. Styles and requirements have changed substantially. All requirements in this guide must be met.

**Forms Referenced in the Guide**

Forms referenced in the Guide are included in Appendix A. Forms may be obtained from the department or from the on-line Guide available on the Graduate College website at

http://www.gradcollege.txstate.edu/Thesis&DissertationGuide/Table_contents.htm

The following must be obtained from the Office of the Graduate College (JCK 280):

- Electronic Thesis and Dissertation (ETD) Access Agreement
- Doctoral Dissertation Agreement
- Fee Reduction Verification of Enrollment
CHAPTER II

ESTABLISHING A COMMITTEE

Thesis or Dissertation Committee Members
Committee Chair

The first step in writing a thesis or dissertation is to find a viable research topic and a research advisor. The thesis or dissertation topic must have a clear relationship to literature in the major field of study. As a student takes courses of study in a field, he or she should look for areas of interest and for problems that need to be solved. The student should discuss potential research topics with Graduate Faculty members in the department/graduate program and identify a faculty member willing to serve as the student’s research advisor.

A thesis student obtains the consent of a Graduate Faculty member in the major department to serve as research advisor (Committee Chair). Co-chairs are allowable.

A dissertation student obtains the consent of a Graduate Faculty member in the doctoral program to serve as research advisor. This individual chairs the
student’s dissertation committee. Co-chairs are allowable. The Committee Chair must be a member of the Ph.D. Core Graduate Faculty of the doctoral program. The Ph.D. Dissertation/Research Advisor Assignment form (see Appendix A) must be completed and signed by the student, research advisor, Ph.D. Program Director and the Department Chair and then forwarded to the Dean of the Graduate College for approval and signature.

Other Committee Members

The student, in consultation with the thesis or dissertation Committee Chair, will establish a thesis or dissertation committee. All committee members must be approved by the Graduate College as Graduate Faculty.

Thesis Committees

Thesis students will obtain the consent of a minimum of two other members of the Graduate Faculty to serve as committee members. If the student does not have a minor, the other two committee members are chosen from Graduate Faculty in the major department, from another Texas State department, or external to the university. If the student has a minor, one of the two other committee members must be from the student’s minor department. These three - thesis committee chair, two other committee members -- comprise the thesis committee. The thesis committee, however, may include additional Graduate Faculty members and be comprised of more than three members. The thesis
committee is approved by the Department Chair and Dean of the Graduate College. Refer to Table 1 for requirements and procedures for committee members outside the student’s major department or external to the university.

Table 1. Thesis Committee Members Outside of Student’s Major Department or External to Texas State University-San Marcos.

<table>
<thead>
<tr>
<th>Thesis Committee Members who are Texas State faculty outside the student’s major department or part-time faculty from Texas State, must meet the following requirements:</th>
<th>Thesis Committee Members who are external to the university, must meet the following requirements:</th>
</tr>
</thead>
<tbody>
<tr>
<td>° Must be members of the Texas State Graduate Faculty.</td>
<td>° Must hold professional credentials equivalent to those associated with Graduate Faculty status in the student’s major department.</td>
</tr>
<tr>
<td>° The Department Chair should submit a Graduate Faculty Nomination form <a href="http://www.txstate.edu/academicaffairs/pps/pps777-03AttachmentA.doc">http://www.txstate.edu/academicaffairs/pps/pps777-03AttachmentA.doc</a> to appoint an outside committee member who is not affiliated with Texas State.</td>
<td>° The Graduate Faculty Nomination form must be accompanied by a written statement wherein the member states that he or she is willing to serve on the student’s committee and that he or she understands that the university will not assume responsibility for expenses associated with committee service. (The Graduate College will accept verification from the Department Chair.)</td>
</tr>
<tr>
<td>° The Graduate Faculty Nomination form, curriculum vitae and written statement are submitted to the Dean of the Graduate College for approval.</td>
<td></td>
</tr>
</tbody>
</table>

Dissertation Committees

Members of the dissertation committee must be Core or Associate Graduate Faculty of the doctoral program. The dissertation committee for a student in Education includes the Ph.D. Dissertation/Research Advisor and a
minimum of three additional committee members. The dissertation committee for a student in Geography includes the Ph.D. Dissertation/Research Advisor and a minimum of three additional committee members (two of whom must be from the Department of Geography and one from outside the department). The dissertation committee for a student in Aquatic Resources includes the Ph.D. Dissertation/Research Advisor and a minimum of four additional members (two of whom must be from the Department of Biology, one from another Texas State department, and one external to the university). Refer to Table 2 for requirements and procedures for committee members outside the student’s major department or external to the university.

To form the dissertation committee, the Ph.D. Dissertation Committee Request form (see Appendix A) must be completed and signed by the student, committee members, Committee Chair, Ph.D. Program Director and the Department Chair and then forwarded to the Dean of the Graduate College for approval and signature.
Table 2. Dissertation Committee Members Outside of Student’s Major Department or External to Texas State University-San Marcos.

<table>
<thead>
<tr>
<th>Dissertation Committee Members from Texas State departments that do not offer Ph.D. degrees must meet the following requirements:</th>
<th>Dissertation Committee Members who are part-time faculty from Texas State or external to the university must meet the following requirements:</th>
</tr>
</thead>
<tbody>
<tr>
<td>° Must be members of the Texas State Graduate Faculty and must hold professional credentials equivalent to those associated with Ph.D. Core or Associate Faculty status in the student’s major department.</td>
<td>° Must hold professional credentials equivalent to those associated with Ph.D. Core or Associate Faculty status in the student’s major department.</td>
</tr>
<tr>
<td>° The Department Chair should submit a Graduate Faculty Nomination form (<a href="http://www.txstate.edu/academicaffairs/pps/pps7/7-03AttachmentA.doc">http://www.txstate.edu/academicaffairs/pps/pps7/7-03AttachmentA.doc</a>) to appoint an outside committee member who is not affiliated with Texas State.</td>
<td>° The Graduate Faculty Nomination form must be accompanied by a written statement wherein the member states that he or she is willing to serve on the student’s committee and that he or she understands that the university will not assume responsibility for expenses associated with committee service. (The Graduate College will accept verification from the Department Chair.)</td>
</tr>
<tr>
<td>° The Graduate Faculty Nomination form, curriculum vitae and written statement are submitted along with the Ph.D. Dissertation Committee Request Form to the Dean of the Graduate College for approval.</td>
<td>° The Graduate Faculty Nomination form, curriculum vitae and written statement are submitted along with the Ph.D. Dissertation Committee Request Form to the Dean of the Graduate College for approval.</td>
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</tbody>
</table>

Changes in the Thesis/Dissertation Committee

If changes in the membership of the thesis committee are necessary, the Committee Chair must submit a written request via the Department Chair to the Dean of the Graduate College for approval.

If changes in the membership of the dissertation committee are necessary, the Ph.D. Dissertation Advisor/Committee Member Change Request form (see
Appendix A) must be completed and signed by the student, new committee member, the Committee Chair, the Ph.D. Program Director, and the Department Chair and then forwarded to the Dean of the Graduate College for approval and signature. Committee changes must be made at least sixty days prior to the dissertation defense.

A committee member that retires or resigns from Texas State, but wishes to remain on a thesis or dissertation committee may do so with the approval of the student, Committee Chair, Ph.D. Program Director (Ph.D. students only), and the Department Chair. A written request accompanied by a written statement wherein the member states that he or she is willing to continue serving on the student’s committee and that he or she understands that the university will not assume responsibility for expenses associated with committee service must be submitted to the Dean of the Graduate College for approval.

**Availability of Committee Members**

Thesis and dissertation students will rely on the advice and knowledge of each committee member. Keep in mind that while each committee member is committed to working on the thesis or dissertation, they also have various prior commitments. It is the student’s responsibility to verify that the committee members will be available at required times.
CHAPTER III

THESIS OR DISSERTATION PROPOSAL

Proposal Preparation

With the guidance of the student’s thesis or dissertation committee, the student will produce a proposal. This proposal states the problem the student intends to address and the means and resources with which the student intends to solve it. In preparing the proposal, the student must follow the latest edition of Kate L. Turabian’s *A Manual for Writers of Term Papers, Theses, and Dissertations*, using parenthetical citation and reference format, or conform to the specific guidelines in the student’s major department/graduate program.

Submission of the Thesis or Dissertation Proposal

The thesis or dissertation proposal is submitted to the Graduate College. The signed thesis or dissertation proposal is the commitment between the student and the university.
Thesis Proposal

One copy of the Proposed Thesis Research form (see Appendix A) with the thesis project description attached is submitted to the Graduate College. The proposal form must bear original signatures of the student, the student’s committee members, and the Department Chair. The chair will forward the signed forms to the Dean of the Graduate College for approval and signature.

The Graduate College will return a copy of the approved thesis proposal form to the committee chair. The Office of the Graduate College will retain the original approved proposal form with attached thesis project description.

Dissertation Proposal

One copy of the Ph.D. Dissertation Proposal form (see Appendix A) and proposal is submitted to the Graduate College. The proposal form must bear original signatures of the student, the student’s committee members, the Ph.D. Program Director and the Department Chair. The chair will forward the signed forms to the Dean of the Graduate College for review and signature.

The Graduate College will return a copy of the approved dissertation proposal form to the committee chair. The Office of the Graduate College will retain the original approved proposal form and proposal.
**Changes in the Thesis or Dissertation Topic**

It is anticipated that the research design may need to be modified over the course of the project. Revisions to the proposal are not necessary under such circumstances. However, if the Committee Chair judges that major changes in the research design are necessary or if a new topic is selected, the student must submit a new proposal.

If a dissertation topic changes resulting in submission of a new proposal after the initial proposal has been defended (see Chapter IV. for dissertation proposal defense procedure), the student must repeat the procedure to defend the new dissertation proposal.
CHAPTER IV

Ph.D. ADVANCEMENT TO CANDIDACY PROCEDURE

Comprehensive Examination

Ph.D. students are required to complete a comprehensive examination to be eligible for advancement to candidacy. The nature and timing of examinations vary among the Ph.D. programs. The examination procedure may be obtained from the Ph.D. Program Director.

Following the comprehensive examination, members of the dissertation committee, the Ph.D. Program Director and the Department Chair sign the Comprehensive Examination Report form (see Appendix A), which indicates passage or failure. The signed form is then submitted to the Dean of the Graduate College.

Defense of the Dissertation Proposal

Each Ph.D. program prepares its own procedures for the dissertation proposal defense. The procedures may be obtained from the Ph.D. Program Director.
The student must defend the dissertation proposal in a public presentation and must defend the dissertation proposal in an oral examination. Each department prepares its own procedures for the oral examination and public presentation. The procedures may be obtained from the Ph.D. Program Director.

Following the dissertation proposal defense, members of the dissertation committee, the Ph.D. Program Director and the Department Chair sign the Defense of the Dissertation Proposal form (see Appendix A), which indicates passage or failure. The form is then submitted to the Dean of the Graduate College.

Advancement to Candidacy

Once all Ph.D. Program requirements for advancement to candidacy have been met, the Application for Advancement to Candidacy form (see Appendix A) is completed. The form must bear original signatures of the Ph.D. Program Director and Department Chair. The form is then submitted to the Dean of the Graduate College for approval and signature.
CHAPTER V

THESIS AND DISSERTATION COURSES

Required Thesis or Dissertation Credit

Any time a student is receiving official guidance on a thesis or dissertation, the student must be enrolled in a thesis or dissertation course.

A student must complete six semester hours of thesis credit (5399A and 5399B) to qualify for a master’s degree. Although it is possible in most departments for the thesis student to enroll for the first three hours of thesis credit (5399A) without having an approved thesis proposal, it is required that the thesis proposal form be submitted by the end of the student’s enrollment in 5399A. The student should not take the next thesis course (5399B) until the proposal has been approved. It is possible to take 5399A and 5399B concurrently, but this can be done only with the approval of the Dean of the Graduate College, and it is highly unlikely that the student will receive approval without an approved thesis proposal on file. If the thesis is not completed the first semester a student enrolls in 5399B, the student must continue to register for this
course each term during which he or she receives guidance from the committee until the thesis is completed. A student must be enrolled in 5399B during the semester in which the degree is to be conferred. The maximum thesis credit that can be counted for degree credit is six semester hours.

The Ph.D. degree requires a minimum of twelve dissertation credits for Education and fifteen dissertation credits for Geography and Aquatic Resources. A Ph.D. student may begin registering for dissertation courses during the semester following completion of all required course work specified by the Ph.D. program. Once the student begins enrolling in a dissertation course, the student must continue to register for at least three hours of dissertation credit each semester until the dissertation has been completed, defended, and submitted in accordance with the procedures described below. After advancement to candidacy, students must be continuously enrolled each long semester for at least three dissertation hours, and must be enrolled for dissertation hours during the semester in which the degree is to be conferred. The Ph.D. Program Director will assist the student in determining the correct dissertation course for registration.
Thesis and Dissertation Grades

The only grades assigned for thesis and dissertation courses are PR (progress), CR (credit), W (withdrew), and F (if failing). If acceptable progress is not made in a thesis or dissertation course, the instructor may issue a grade of F. Until the thesis or dissertation is completed, a grade of PR is assigned. When the thesis or dissertation is completed and filed into the Texas State Alkek Library, the instructor assigns a grade of CR. The Office of the Graduate College will then convert the PR grade of the most recently completed thesis or dissertation course(s) to a grade(s) of CR to award the total thesis/dissertation credit hours required by the student’s graduate program.

Registering for Thesis or Dissertation During the Final Semester

A student must be enrolled for 5399B the semester the thesis is completed and the degree is conferred. If the student is not enrolled, graduation will be postponed until the student has registered for 5399B and the term in which the student has enrolled is completed. This requirement remains in force no matter how often a student may have previously taken 5399B.

Dissertation students must be registered for dissertation credit during the semester that the dissertation is completed, submitted to the Alkek Library for binding and the degree is conferred. The requirement must be met or graduation will be postponed.
Fee Reduction

If a student is a Texas resident enrolled in only thesis (5399B) or dissertation, the student may qualify for a fee reduction during the final semester. For details see Figure 1.

Figure 1. Fee Reduction Qualifications. The student is entitled to a one-time, $40.00 fee reduction under V.T.C.A., Education Code, Section 54.051 if they meet each of the qualifications diagramed above.
Procedure for Obtaining a Fee Reduction

1. Contact the Office of the Graduate College to request a "Fee Reduction Verification of Enrollment" form.

2. The Office of the Graduate College will verify the student is eligible to obtain reduction and then forward the Fee Reduction Verification of Enrollment form to the Student Business Services Office to have his/her bill adjusted.

3. The student must return to the Office of the Graduate College with the receipt for payment of fees and file an application for graduation.

4. If the student is unable to come to campus to complete step 3, the student should contact the Office of the Graduate College (512-245-2581) for assistance.

5. If the waiver is on file in the Student Business Services Office prior to a payment of fees, the student’s bill will be adjusted. If the waiver is filed after a payment is made, the student will receive a refund if there are no outstanding charges on the student’s account.

6. The deadline to file the waiver is the 12th class day.

If the student applies for and receives a fee reduction, but then does not graduate that semester, a notification letter will be sent to the student advising that the student must reimburse Texas State for the fee reduction.
Procedures for Reimbursement of Fee Reduction

If the student changes his/her degree program to non-thesis after receiving a thesis fee reduction, he/she will need to reimburse the university for the fee reduction received. If a dissertation student receives a fee reduction and then does not graduate that semester, he/she must reimburse the university for the fee reduction received.

Summer Thesis Registration for August Graduation Candidates

If the student’s anticipated graduation date is in August, the thesis student must register for 5399B during Summer I. The student does not need to enroll again in Summer II because during the summer the thesis course runs ten weeks. If this is the student’s first thesis enrollment and the student anticipates graduating in August, the student must enroll in both 5399A and 5399B for thesis. Enrolling in 5399A and 5399B concurrently requires approval of the Dean of the Graduate College.

Prior to enrolling, the student must verify that the thesis committee members will be available to assist during the summer.
CHAPTER VI

DEADLINES AND CANDIDACY INFORMATION

To File for Graduation

Deadlines for filing for graduation are posted online at

http://www.gradcollege.txstate.edu/ceremony.htm#Applying_for_Graduation.

You may apply for graduation at


IT IS IMPORTANT TO APPLY BY THE POSTED DEADLINE DATE OR
YOUR GRADUATION MAY BE DELAYED AN ENTIRE SEMESTER.

Thesis Deadlines

The thesis must reach the committee 36 days, not counting weekends or
holidays, before commencement. It must reach the Dean of the Graduate College
18 days, not counting weekends or holidays, before commencement. For specific
deadline dates each semester, refer to the Graduate College website at

http://www.gradcollege.txstate.edu/ceremony.htm#Applying_for_Graduation.

Students are expected to adhere to these deadlines. Any request for an extension
must be justified in writing and submitted by the Committee Chair via the Department Chair to the Graduate Dean for approval.

**The Comprehensive Examination for Master’s Students**

All candidates for master’s degrees must pass a comprehensive examination. In many graduate programs, the thesis committee is also the examining committee. The examination typically covers the thesis, as well as any other areas the thesis/examining committee deems appropriate. The thesis/examining committee indicates pass or fail on the Comprehensive Examination Report for Master’s Degree form (see Appendix A). The Committee Chair routes the report to the Department Chair who signs it and routes it to the Graduate College. The Comprehensive Examination Report form must contain the original signatures of the thesis committee members and the Department Chair. The results of the comprehensive examination must reach the Graduate College ten days before commencement, not counting weekends and holidays. For specific deadline dates each semester, refer to the Graduate College website at [http://www.gradcollege.txstate.edu/ceremony.htm#Applying_for_Graduation](http://www.gradcollege.txstate.edu/ceremony.htm#Applying_for_Graduation).

**Time Limit for Completing the Dissertation**

In accordance with approved regulations, after advancement to candidacy, a student has three years in Geography and Aquatic Resources and five years in
Education to complete the dissertation. Once the student goes beyond the required time limit, continuation in the program is subject to the approval of the Ph.D. Program Director, Department Chair, and Dean of the Graduate College. Requests for a time extension to complete degree program requirements must be justified in writing, approved by the Committee Chair, Ph.D. Program Director, Department Chair and submitted to the Dean of the Graduate College for approval.

If a student fails to complete the dissertation within the required time limit following advancement to candidacy, the student’s dissertation committee may require the student to take additional coursework and/or to repeat the comprehensive examination. This potential requirement is intended to ensure that the student’s research area knowledge base remains current.

**Dissertation Deadlines**

The completed dissertation draft must reach the committee at least 60 days, not counting weekends or holidays, before commencement. A copy incorporating recommended revisions must reach the Dean of the Graduate College 21 days, not counting weekends or holidays, before commencement. For specific deadline dates each semester, refer to the Graduate College website at [http://www.gradcollege.txstate.edu/ceremony.htm#Applying_for_Graduation](http://www.gradcollege.txstate.edu/ceremony.htm#Applying_for_Graduation).
Students are expected to adhere to these deadlines. Any request for an extension must be justified in writing and submitted by the Committee Chair via the Ph.D. Program Director and Department Chair to the Graduate Dean for approval.

**Dissertation Defense**

All candidates for doctoral degrees must successfully defend the dissertation. The dissertation defense is not perfunctory; it is a formal occasion that provides an opportunity for discussion, dialogue, and defense of the work that the student has completed.

After all committee members have read the dissertation draft and have recommended changes, the candidate working with his or her Committee Chair incorporates the changes into the dissertation. Individual committee members have the right to review changes prior to the dissertation defense if they wish. When each committee member is satisfied that the dissertation draft is ready for defense, he or she communicates this to the research advisor in writing.

After all committee members have communicated to the Committee Chair that the dissertation is ready to be defended, the Committee Chair proceeds with the scheduling of the dissertation defense. The defense must be scheduled at least two weeks in advance. Notice of the time and place of the defense must be posted throughout the university and within the major department. The defense
is open to the public. The defense is presided over by the Committee Chair. All members of the dissertation committee are expected to be present.

Questions must pertain primarily to the dissertation itself and to the implications of the results for future research in the dissertation field. All members of the dissertation committee are afforded the opportunity to ask questions. At the discretion of the Committee Chair, members of the audience may be invited to ask questions.

Following the dissertation defense, members of the dissertation committee, the Ph.D. Program Director and the Department Chair sign the Dissertation Defense Report form (see Appendix A), which indicates passage, provisional pass or failure of the defense. The signed form is then submitted to the Dean of the Graduate College.

Master’s and Doctoral Degree Commencement Information

Each semester the Graduate College updates the Commencement Information website at http://www.gradcollege.txstate.edu/ceremony.htm. This website provides the student the exact date on which all of the above deadlines fall, as well as other important reminders.
CHAPTER VII

SUBMITTING THE THESIS OR DISSERTATION

Submission for Initial Review

The student must present the Dean of the Graduate College with a copy of the final thesis or dissertation for review by the posted deadline. For specific deadline dates each semester, refer to the Graduate College website at http://www.gradcollege.txstate.edu/ceremony.htm#Applying_for_Graduation.

There are two options for submission:

1. Traditional Submission: The student submits a printed copy of the final document to the Office of the Graduate College. This copy should be printed on regular (non-archival quality) paper for editing.

2. Electronic Submission: The student submits a CD containing the thesis or dissertation as one document [non-encrypted PDF (preferred) or Microsoft Word].
Signature Pages

Thesis

Thesis students must submit at least two official signature pages with the original signatures of all committee members on archival quality paper (refer to Chapter VIII. Paper) to the Graduate College for signature by the Dean of the Graduate College. Extra signature pages may be included for additional copies of the thesis that the student wants bound. It is suggested that the student refer to the sample signature page in Appendix B to verify that the page is formatted correctly before he/she obtains committee member signatures.

Dissertation

Dissertation students must submit signature pages with the original signatures of all committee members on archival quality paper (refer to Chapter VIII. Paper) to the Graduate College for signature by the Dean of the Graduate College. Dissertation students majoring in Aquatic Resources must submit at least two signature pages and dissertation students majoring in Geography and Education are required to submit at least three signature pages. Extra signature pages may be included for additional copies of the dissertation that the student wants bound. It is suggested that the student refer to the sample signature page in Appendix B to verify that the page is formatted correctly before he/she obtains committee member signatures.
The dissertation abstract must be submitted to the Dean of the Graduate College for final approval along with the dissertation. All dissertation abstracts must be published in Dissertation Abstracts International.

Editing Process

Theses and dissertations are reviewed by the Office of the Graduate College in order of receipt. It is to the student’s advantage to submit the thesis or dissertation as early as possible. The thesis or dissertation is reviewed by Graduate College staff and the Graduate Dean. If edits are necessary, the student will be required to meet with Graduate College staff to discuss the edits. When the necessary corrections (if any) have been made to the thesis or dissertation and have been verified by the Graduate College, the student’s thesis/dissertation card will be sent via email to the library. See Figure 2 for the process.
Student submits document to the Graduate College by the posted deadline.

Document contained errors.

The Graduate College meets with the student to review edits.

Student edits document & resubmits to the Graduate College.

Document contained no errors.

The Graduate College reviews thesis or dissertation.

Document is released by the Graduate College. Student will now submit document to the library.

Student submission requires no additional edits.

Student submission requires edits.

Figure 2. Submission and Editing Process. Student submits document to the Graduate College. If edits are required, the student must continue to edit the document until it is acceptable to be released to the library.
Final Submission

The student is required to submit the thesis or dissertation to the Alkek Library using the same option (print copy or electronic document) that was used when first submitted to the Graduate College for initial review.

Submission Options

1. Traditional Submission: The student submits two print copies of the thesis or dissertation to Alkek Library on archival quality paper (Geography Ph.D. students are required to submit three copies). Additional copies that the student wants bound may be submitted if desired. Print copies must contain the signature page with original signatures of the committee members and the Dean of the Graduate College.

2. Electronic Submission:

   a. To Alkek Library: The student submits one copy of the thesis or dissertation on archival quality paper to the circulation desk (Geography Ph.D. students are required to submit two copies). Additional copies that the student wants bound may be submitted if desired. Print copies must contain the signature page with original signatures of the committee members and the Dean of the Graduate College.

   b. To the Office of the Graduate College: The student must submit a
signed Electronic Thesis and Dissertation (ETD) Access Agreement form (obtained from the Office of the Graduate College) along with a CD containing the final document (non-encrypted PDF [preferred] or Microsoft Word). The electronic document includes the signature page without signatures. The Graduate College will deliver the CD to Alkek Library.

Additional Requirements for Dissertation Students

Ph.D. students also submit the following to Alkek Library:

- Doctoral Dissertation Agreement
- One copy of the Dissertation Abstract
- Dissertation publishing fee
- Submission of the copyright fee (optional)

Procedure at the Circulation Desk

The student deposits the thesis/dissertation and any other required materials (see above) at the Circulation Desk of Alkek Library. The Library is not responsible for collation. The student must be sure that his or her thesis/dissertation is complete (including original signature pages with print copies), the pages are right side up and in the proper order. The student pays the binding fee at the Circulation Desk. To find the exact amount call (512) 245-3681. The student will be given a receipt and the Thesis or Dissertation Card will be
dated and signed by the Circulation Librarian. The Thesis or Dissertation Card will be sent back to the Graduate College via email. The student will be given a Reproduction of Thesis or Dissertation form, on which one of three options is selected: blanket permission for reproduction of the thesis or dissertation, reproduction by specific permission only, and blanket refusal of permission. The student will sign the Reproduction of Thesis or Dissertation form, placing on it his or her name, address, and the title and date of the thesis or dissertation.

Mailing of Copies and Notification

The library does not send copies of the thesis or dissertation to other university departments through campus mail. A fee will be charged for each copy mailed on or off campus. Texas State cannot make long distance calls to inform the student when the thesis or dissertation is ready. It is the student’s responsibility to check the status.

Deadline for Thesis or Dissertation Submission to the Library

In order for the student to be cleared for graduation, the student’s thesis or dissertation must reach the library by 5 p.m. on the Thursday a week prior to graduation. For specific deadline dates each semester, refer to the Graduate College website at

http://www.gradcollege.txstate.edu/ceremony.htm#Applying_for_Graduation.
Summary of Thesis Approvals and Deadlines

- The Proposed Thesis Research form must be signed by the thesis committee, the chair of the major department, and the Dean of the Graduate College. It must be approved before the student can take 5399B.

- The final thesis must be signed by the committee members and by the Dean of the Graduate College. It must reach the committee 36 days before commencement, not counting weekends and holidays, and the Dean of the Graduate College 18 days before commencement, not counting weekends and holidays.

- The Comprehensive Examination Report for Master’s Degree form must be signed by the committee members and by the chair of the major department. It must reach the Office of the Graduate College ten (10) days before commencement, not counting weekends and holidays.

- The Thesis Card is electronically sent to the library by the Office of the Graduate College and is dated and signed by the University Librarian when the thesis is submitted to the Circulation Desk for binding.

- In order for the student to be cleared for graduation, he or she must submit the print copy/copies to Alkek Library by 5 p.m. on the Thursday a week prior to graduation.
Summary of Dissertation Approvals and Deadlines

- The Ph.D. Dissertation Proposal form must be signed by the dissertation committee, the Ph.D. Program Director, the chair of the major department, and the Dean of the Graduate College.

- The dissertation must reach the dissertation committee 60 days before commencement, not counting weekends and holidays, and the Dean of the Graduate College 21 days before commencement, not counting weekends and holidays.

- The Dissertation Defense Report form must be signed by the dissertation committee and by the chair of the major department. It must reach the Office of the Graduate College 10 days before commencement, not counting weekends and holidays.

- The Dissertation Card is electronically sent to the library by the Office of the Graduate College and is dated and signed by the University Librarian when the dissertation is submitted to the Circulation Desk for binding.

- In order for the student to be cleared for graduation, he or she must submit the print copy/copies to Alkek Library by 5 p.m. on the Thursday a week prior to graduation.
CHAPTER VIII

STYLE, ORGANIZATION, AND MECHANICS

Paper

The entire thesis or dissertation must be presented to the library on white, 8 1/2 by 11 inch, 20/24 pound bond, archival quality (acid-free), flat-finish paper, including the signature page. Exceptions are made only if the department has made arrangements with the Graduate College. The thesis or dissertation will be shelved permanently in the university library and must be durable and of high quality. Thesis and dissertation quality paper can be found at campus bookstores and most office supply stores.

Remember that the first submission of the thesis or dissertation to the Graduate College for review should not be on archival quality paper; however, when it is submitted to the library, it must be on archival quality paper.

Style

The Graduate College Guide to Preparing and Submitting a Thesis or Dissertation supersedes all other style guides and manuals. Only theses and dissertations formatted as specified in this guide will be accepted by the
Graduate College. Recent style manuals (Table 3) and scholarly journals in the student’s major discipline should be consulted for style material not included in the guide.

<table>
<thead>
<tr>
<th>Table 3. Style Guides by Major.</th>
<th>Style Guidelines</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Major</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Thesis</strong></td>
<td></td>
</tr>
<tr>
<td>Agricultural Education</td>
<td>APA⁴</td>
</tr>
<tr>
<td>Anthropology</td>
<td>AAA¹/SAA¹⁰</td>
</tr>
<tr>
<td>Aquatic Biology</td>
<td>Turabian</td>
</tr>
<tr>
<td>Biochemistry</td>
<td>Turabian/ACS²</td>
</tr>
<tr>
<td>Biology</td>
<td>Turabian</td>
</tr>
<tr>
<td>Business Administration</td>
<td>Turabian</td>
</tr>
<tr>
<td>Chemistry</td>
<td>Turabian/ACS²</td>
</tr>
<tr>
<td>Communication Disorders</td>
<td>Turabian/APA⁴</td>
</tr>
<tr>
<td>Communication Studies</td>
<td>MLA⁹/APA⁴</td>
</tr>
<tr>
<td>Computer Science</td>
<td>Turabian/APA⁴</td>
</tr>
<tr>
<td>Counseling and Guidance</td>
<td>APA⁴</td>
</tr>
<tr>
<td>Creative Writing</td>
<td>Turabian/MLA⁹</td>
</tr>
<tr>
<td>Criminal Justice</td>
<td>APA⁴</td>
</tr>
<tr>
<td>Developmental &amp; Adult Education</td>
<td>APA⁴</td>
</tr>
<tr>
<td>Educational Administration</td>
<td>Turabian/APA⁴</td>
</tr>
<tr>
<td>Elementary Education</td>
<td>APA⁴</td>
</tr>
<tr>
<td>Bilingual/Bicultural</td>
<td>APA⁴</td>
</tr>
<tr>
<td>Early Childhood Education</td>
<td>APA⁴</td>
</tr>
<tr>
<td>Family &amp; Child Studies</td>
<td>APA⁴</td>
</tr>
<tr>
<td>Geography</td>
<td>Turabian</td>
</tr>
<tr>
<td>Land/Area Development &amp; Management</td>
<td>Turabian</td>
</tr>
<tr>
<td>Cartography/Geographic Info Systems</td>
<td>Turabian</td>
</tr>
<tr>
<td>Resource &amp; Environmental Studies</td>
<td>Turabian</td>
</tr>
<tr>
<td>Health and Physical Education</td>
<td>APA⁴</td>
</tr>
<tr>
<td>Healthcare Administration</td>
<td>APA⁴</td>
</tr>
<tr>
<td>Health Education</td>
<td>APA⁴</td>
</tr>
<tr>
<td>Healthcare Human Resources</td>
<td>APA⁴</td>
</tr>
<tr>
<td>Health Psychology</td>
<td>APA⁴</td>
</tr>
<tr>
<td>Health Services Research</td>
<td>APA⁴</td>
</tr>
<tr>
<td>History</td>
<td>Turabian</td>
</tr>
<tr>
<td>Industrial Mathematics</td>
<td>Turabian</td>
</tr>
<tr>
<td>Industrial Technology</td>
<td>APA⁴</td>
</tr>
<tr>
<td>International Studies</td>
<td>Turabian</td>
</tr>
<tr>
<td>Literature</td>
<td>Turabian/MLA⁹</td>
</tr>
<tr>
<td>Mass Communication</td>
<td>APA⁴</td>
</tr>
<tr>
<td>Major</td>
<td>Style Guidelines</td>
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<tr>
<td>-------</td>
<td>------------------</td>
</tr>
<tr>
<td>Thesis</td>
<td></td>
</tr>
<tr>
<td>Material Physics</td>
<td>AIP(^3)</td>
</tr>
<tr>
<td>Mathematics</td>
<td>Turabian</td>
</tr>
<tr>
<td>Music</td>
<td>Turabian</td>
</tr>
<tr>
<td>Physical Education</td>
<td>APA(^4)</td>
</tr>
<tr>
<td>Physical Therapy</td>
<td>AMA(^6)</td>
</tr>
<tr>
<td>Physics</td>
<td>Turabian/AIP(^3)</td>
</tr>
<tr>
<td>Political Science</td>
<td>Turabian</td>
</tr>
<tr>
<td>Professional Counseling</td>
<td>APA(^4)</td>
</tr>
<tr>
<td>Recreation &amp; Leisure Services:</td>
<td></td>
</tr>
<tr>
<td>Recreation Management</td>
<td>APA(^4)</td>
</tr>
<tr>
<td>Therapeutic Recreation</td>
<td>APA(^4)</td>
</tr>
<tr>
<td>Secondary Education</td>
<td>APA(^4)</td>
</tr>
<tr>
<td>Sociology</td>
<td>ASA(^5)</td>
</tr>
<tr>
<td>Software Engineering</td>
<td>Turabian/APA(^4)</td>
</tr>
<tr>
<td>Spanish</td>
<td>Turabian/MLA(^9)</td>
</tr>
<tr>
<td>Technical Communication</td>
<td>MLA(^9)</td>
</tr>
<tr>
<td>Theatre</td>
<td>MLA(^9)</td>
</tr>
<tr>
<td>Wildlife Ecology</td>
<td>JWM(^9)/JM(^7)</td>
</tr>
<tr>
<td>Aquatic Resources</td>
<td>CBE(^6)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Dissertation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Biology</td>
</tr>
<tr>
<td>Aquatic Biology</td>
</tr>
<tr>
<td>Education</td>
</tr>
<tr>
<td>Adult, Professional &amp; Comm Edu</td>
</tr>
<tr>
<td>School Improvement</td>
</tr>
<tr>
<td>Geography</td>
</tr>
<tr>
<td>Environmental</td>
</tr>
<tr>
<td>Geographic Education</td>
</tr>
<tr>
<td>Geographic Information Science</td>
</tr>
</tbody>
</table>

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\(^{\text{9}}\text{AMA} = \text{American Medical Association}\)
\(^{\text{1}}\text{AAA} = \text{American Anthropological Association}\)
\(^{\text{2}}\text{ACS} = \text{American Chemical Society}\)
\(^{\text{3}}\text{AIP} = \text{American Institute of Physics}\)
\(^{\text{4}}\text{APA} = \text{American Psychological Association}\)
\(^{\text{5}}\text{ASA} = \text{American Sociology Association}\)
\(^{\text{6}}\text{CBE} = \text{Council of Biology Editors}\)
\(^{\text{7}}\text{JM} = \text{Journal of Mammalogy}\)
\(^{\text{8}}\text{JWM} = \text{Journal of Wildlife Management}\)
\(^{\text{9}}\text{MLA} = \text{Modern Language Association of America}\)
\(^{\text{10}}\text{SAA} = \text{Society of American Archeologists}\)
Arrangement of Thesis and Dissertation

The thesis or dissertation consists of three major components: the preliminary pages (also referred to as front matter), the text and the back matter. The preliminary pages include: the fly page, title page, signature page, copyright page (optional), dedication (optional), acknowledgements, table of contents, list of tables (if applicable), list of figures (if applicable), abstract (required in dissertations, optional in most theses); a list of illustrations, list of abbreviations, and preface may also be included as needed. The text includes: the chapters (the number will vary by thesis or dissertation), and tables and/or figures (if included). The back matter includes the appendix (optional), literature cited (also referred to as bibliography, references, work cited, etc.), vita, and fly page. Table 4 indicates the arrangement of the thesis and dissertation. The sections should be shown in the order listed. Be sure to include all required sections in your document.

Foreign Language Thesis

A student in the Modern Languages department that chooses to submit the thesis in a language other than English must include an Abstract written in English. A duplicate abstract in the language of the thesis may also be included, if desired.
Conversion of Two or More Related Studies or Published Journal Articles to Thesis/Dissertation Format

Thesis and dissertation students are strongly encouraged to pursue publication. Taking this into consideration, the Graduate College will accept the following variations in formatting:

° If the thesis/dissertation will consist of two or more published journal articles or two or more studies expected to be submitted separately as journal manuscripts, the document must include an introductory chapter that explains the contents of the total thesis and indicates the relationship of the studies presented.

° Each chapter thereafter may consist of a separate study. Each of these chapters may include figures and/or tables (positioned within the text or grouped at the end of each chapter) and literature cited pertaining to the chapter.

° If literature cited is included with each chapter, a comprehensive reference list does not need to be included at the end of the thesis.

Typography

The same font must be used throughout the front matter, text, and back matter of the document. Acceptable fonts include Bookman, Helvetica, New Century Schoolbook, Palatino, Times, Times New Roman and fonts similar to these with a font size 12. Tables may include 10-point font to allow for inclusion
of all required information. The use of correction fluid or handwritten corrections is not allowed. A high quality printer must be used to ensure legibility of the entire thesis/dissertation, including all illustrations, figures and tables.

**Computers and Printers**

To avoid complications with the document, DO NOT use a different computer or printer once the document is created. It is suggested that the same computer and printer be used throughout the thesis/dissertation process. If different machines are used to word process and print, settings and format will change, as will the placement of tables in figures within the text.

Printers must be of sufficiently high-quality to produce legible text, including all tables and figures. Tables, figures and illustrations may be printed in color, but again must be completely legible.
Table 4. Arrangement of Thesis and Dissertation.
Please note that all listed pages are considered "major" headings and require a two inch top margin. The only exception to this is the text. Only the first page of each chapter requires the two inch margin.

<table>
<thead>
<tr>
<th>Page</th>
<th>Required or Optional?</th>
<th>Format of Page Number</th>
<th>Description and Helpful Tips</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fly Page</td>
<td>Required</td>
<td>Not numbered</td>
<td>Blank page for protection of thesis.</td>
</tr>
<tr>
<td>Title Page</td>
<td>Required</td>
<td>Counted, but not numbered</td>
<td>2” top margin; do not use bold on this page.</td>
</tr>
<tr>
<td>Signature Page</td>
<td>Required</td>
<td>Counted, but not numbered</td>
<td>2” top margin; do not use bold on this page. When signature pages are submitted to the Graduate College, they must contain original signatures on archival quality paper.</td>
</tr>
<tr>
<td>Copyright Page</td>
<td>Optional</td>
<td>Counted, but not numbered</td>
<td>Texas State does not copyright theses or dissertations, but without applying for a copyright, the student may include a copyright page. If this form is not used, the student must write a copyright disclaimer in its place. For copyright information, reference the Library of Congress, Copyright Office, Washington, D. C. 20599. Doctoral students may have their dissertations copyrighted through the University Library. There are additional fees for this service.</td>
</tr>
<tr>
<td>Dedication</td>
<td>Optional</td>
<td>Counted, but not numbered</td>
<td></td>
</tr>
<tr>
<td>Acknowledgements</td>
<td>Required</td>
<td>This is the first page numbered using lower case Roman numerals. All pages should have numbers from this point forward.</td>
<td>This page gives credit and acknowledgement to any person who has helped the student during his/her academic career. The date on which the thesis or dissertation was submitted to the committee is placed at the conclusion.</td>
</tr>
<tr>
<td>Table of Contents (TOC)</td>
<td>Required</td>
<td>Lower case Roman numerals</td>
<td>Chapter titles should be in all caps. Subheadings should be in headline case. All chapter titles, headings, and subheadings should appear on the TOC exactly as they appear in the text. Use dot leaders with a right tab instead of periods to lead up to page numbers.</td>
</tr>
<tr>
<td>Page</td>
<td>Required or Optional?</td>
<td>Format of Page Number</td>
<td>Description and Helpful Tips</td>
</tr>
<tr>
<td>-------------------------------</td>
<td>-----------------------</td>
<td>-----------------------</td>
<td>-----------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>List of Tables (LOT)</td>
<td>If applicable</td>
<td>Lower case Roman numerals</td>
<td>Formatting should resemble the TOC. All table titles should appear on the LOT exactly as they are in the text. Use dot leaders with a right tab instead of periods to lead up to page numbers.</td>
</tr>
<tr>
<td>List of Figures (LOF)</td>
<td>If applicable</td>
<td>Lower case Roman numerals</td>
<td>Formatting should resemble the TOC and LOT. All table titles should appear on the LOF exactly as they are in the text. Use dot leaders with a right tab instead of periods to lead up to page numbers.</td>
</tr>
<tr>
<td>List of Illustrations (LOI)</td>
<td>If applicable</td>
<td>Lower case Roman numerals</td>
<td>Include if applicable; same formatting as the LOT and LOF. Use dot leaders with a right tab instead of periods to lead up to page numbers.</td>
</tr>
<tr>
<td>Abstract</td>
<td>Optional for thesis*; Required for dissertation</td>
<td>Lower case Roman numerals</td>
<td>Make sure that spacing resembles that of the example in Appendix B. *Modern Languages student who submit their thesis in a language other than English are required to include an Abstract in English!</td>
</tr>
<tr>
<td>Text</td>
<td>Required</td>
<td>The first page of the text is where the Arabic numbering begins, starting with 1.</td>
<td>Begin each chapter with a 2” top margin, triple space between chapter title and the text. Each chapter must be numbered.</td>
</tr>
<tr>
<td>Appendix</td>
<td>Optional</td>
<td>Arabic numerals</td>
<td>Pagination continues through the appendix; numbering does not start over.</td>
</tr>
<tr>
<td>Literature Cited</td>
<td>Required</td>
<td>Arabic numerals</td>
<td>The Literature Cited is formatted by single spacing each entry and double spacing between entries. The first line of an entry is aligned with the left margin. The second line and any additional lines of an entry should be indented with a .5” tab space. Be sure to include a period at the end of each entry.</td>
</tr>
<tr>
<td>Vita</td>
<td>Required</td>
<td>Not numbered</td>
<td>This page is not numbered. See example in Appendix B.</td>
</tr>
<tr>
<td>Fly Page</td>
<td>Required</td>
<td>Not numbered</td>
<td>Blank page for protection of thesis.</td>
</tr>
</tbody>
</table>
Margins

Major headings (see Table 4) require a two inch top margin, a one and one-half inch left margin, and a one inch right and bottom margin as shown in Figure 3. Figure 4 shows the margin requirements for all other pages in the document. The left margin is one and one-half inches (required for binding) and the others are one inch. Justified margins are used for the table of contents, tables, or lists of numbers, but are not used elsewhere in the thesis or dissertation.

![Figure 3](image.png)

Figure 3. Example Showing Margins for Major Sections of Document. Please note that major sections include: Title Page, Signature Page, Acknowledgements, Table of Contents, List of Tables, List of Figures, Abstract, Chapter Beginnings, Appendix, Literature Cited and Vita.
Figure 4. Example Showing Margins for Pages Not Considered "Major" in Document. Non-major pages of the document can include, but are not limited to: Additional Pages required for Table of Contents, List of Figures, Text, etc.

Spacing

Double space text and .5” tab space indentations are used throughout the entire document, except the abstract. The text of the abstract may be one and one-half spaced or double spaced, and must follow formatting in the example in Appendix B.

Single spacing is required for table and figure captions as well as block quotations. Literature Cited should include entries that are single spaced with a double space between entries. Footnotes should be formatted in this same fashion.
Dot leaders with a right tab should be used instead of periods in the Table of Contents, List of Tables, List of Figures, etc. where page numbers from the text are referenced. To set dot leaders, go to "Format" in Microsoft Word and select "Tabs". Figure 5 shows the menu that will appear and gives instructions on its use.

![Figure 5. Setting Tabs for Dot Leaders.](image)

Pagination

All numbers on pages before the text of the thesis or dissertation are lower-case Roman numerals, centered one-half inch from the bottom, within the footer. All pages should be counted, but numbers should not be placed on the Title Page, Signature Page, Copyright Page, or Dedication. Fly pages are
not counted. The font and size of the page numbers must match the text and must appear within either a header or footer.

Numbers in the text of the thesis and dissertation are Arabic, beginning with one (1). Numbers on the first pages of chapters are centered one-half inch from the bottom, within the footer. Numbers on the second and following pages of the chapters appear in the upper right corner and should be included within the one inch margin, in the header. Do not use running headers. See Figure 6 for example pagination.

Figure 6. Example Showing Numbers for Page 1 at Bottom and Page 2 at Top. Page numbers for this figure were increased in size for easier viewing. Actual page numbers in the manuscript should be in 12 point font.

Microsoft Word Pagination

It is easiest to paginate a document when all sections of a thesis or dissertation are contained in one document. This will also allow greater ease in
converting the document to PDF if the student has selected the electronic submission option.

Tips to keep in mind while typing a paper:

° Always use page breaks instead of hard returns to start a new page. This will allow for easier changing between headers and footers for placement of the page number.

° Insert a section break between pages whose margins differ. See Figure 7 for an example of the appearance of two sections. Section one in Figure 7 is shown with the footer and section two is shown with the subsequent header.

° Remove the "Same as Previous" setting in each header and footer to ensure the page numbers stay in the correct area. See Figure 7 for an example of the location of “Same as Previous” in the header or footer. In Figure 7, it is located in the header of page 23.

  o Also shown is the “Link to Previous” icon in the header/footer toolbar. Selecting this icon will remove the “Same as Previous.”

° After each section, insert a section break. Sections of the paper include, but are not limited to: 1) the un-numbered front matter, 2) the lower case Roman numeral front matter, 3) the first page of chapter one, 4) the second and remaining pages of chapter one, 5) the first page of chapter two, and
so forth until you have reached the Appendix or the Literature Cited, which again are separate sections. Keep in mind that it helps to use section breaks between pages whose formatting differ as mentioned above in the second bullet.

Figure 7. Diagram Showing Header/Footer Components. Diagram shows a document that has been broken into two sections. Section 1 is seen in the footer of page 22 and section 2 is seen in the header of page 23. The header of page 23 also shows the “Same as Previous” label that links headers and/or footers together. The icon “Link to Previous” in the header/footer toolbar will remove the “Same as Previous” label.
Illustrative Materials

Illustrative materials must fit the margins described under the subheading “Margins.” Illustrations may be reduced if necessary. If reduction is impossible, they must be prepared so that they can be bound into the thesis or dissertation without damage. These inserts are counted in the pagination. If the inserts require facing, the facing also counts in the pagination. If photographs are inserted, they must be kept within required margins and they must be mounted on the same type of paper as the text.

Headings and Subheadings

The preliminary pages of the thesis, chapter titles and all sections after the text require the same heading formatting. Headings must be set up as instructed in this manual. All headings are required to have a two inch top margin, to be centered, capitalized, in 12 point font and in bold (with the exception of the title page and signature page; do not use bold on these pages). All headings must appear in the text exactly as they appear in the Table of Contents. A triple space is to follow the heading and then begin with the text.

The formatting of subheadings will vary among theses and dissertations. Please do not refer to any previously submitted thesis or dissertation for subheading formatting. Consult recent style manuals and/or scholarly journals in the major discipline for the appropriate formatting. The Graduate College will
check throughout the document for consistency. Use as many subheading levels as the committee deems necessary. All subheadings must appear in the text exactly as they appear in the Table of Contents.

Abbreviations and Acronyms

Standard abbreviations such as time and measurements may be used without explanation; however, other abbreviations or symbols should be fully spelled out upon their first use followed by the abbreviation. After the first use, the abbreviation will be sufficient. A period must be used with abbreviations.

Acronyms must be typed in all capital letters without periods. Again, upon first use, the acronym should be fully spelled out and thereafter the acronym will be sufficient.

The abbreviation "et al." is often confusing when used in references. Keep in mind that the full Latin term is et alli; therefore, "et" does not require a period, but “al.” does, as it is the shortened form of “alli.”

Tables and Figures

Placement of tables and figures may vary among theses and dissertations; however, the preferred format is that the tables and figures are included in the text. Tables/figures should be placed as closely as possible after their first reference in the text. Add adequate spacing before and after the table/figure to set it off from the text. Be consistent in this spacing. Tables/figures may either be
included on a page with text or on a following page by themselves. Two or more tables/figures may appear on the same page.

Alternately, tables and figures may appear following the last chapter and before any appendices, the Literature Cited and any other back matter. In this case, the tables should be grouped together and figures grouped together.

Include the List of Tables and/or List of Figures in the Table of Contents.

Tables

Table formatting must be consistent throughout the manuscript.

° Each table must be titled and numbered using Arabic numbers.

° Table titles and descriptions must appear above the table and not extend beyond the table margin.

° Do not break up the table if possible. If a table does carry over to a second page, include the table number and “Continued” (Table 2-Continued) as well as any headings that describe the table information.

° Captions must be consistently formatted for all tables. Refer to the recommended style manual or scholarly journal in the major discipline for appropriate formatting. Be consistent in punctuation and typography (capitalization, use of bold, etc.).

° The font style for the table and title should match the text of the manuscript.
° Font size may be smaller in a table, but no smaller than 10 point.

° See sample tables throughout this guide for formatting example.

Figures

Charts, graphs, maps, etc. are considered figures. Figure appearance must be as consistent as possible throughout the manuscript. Figures placed together on the same page should be approximately the same size.

° Each figure must be titled and numbered using Arabic numbers.

° The entire figure must be included on one page.

° Figure titles and descriptions must appear below the figure and not extend beyond the figure margin.

° Captions must be consistently formatted for all figures. Refer to the recommended style manual or scholarly journal in the major discipline for appropriate formatting. Be consistent in punctuation and typography (capitalization, use of bold, etc.).

° The font style for the figure (if text is used) and title should match the text of the manuscript.

° See sample figures throughout this guide for formatting example.
Citations

It is imperative that credit is given for material that is not the student’s own. Credit must be given for direct quotations, for paraphrases, for information, and for ideas. The exact methods of citing sources will vary from one style guide to another, but the underlying principle remains the same.

One style should be chosen for citations within the text for consistency. The most common methods of referencing works are: author-date; footnotes; and numbered references. The student should follow recent style manuals and/or scholarly journals in the major discipline for the appropriate formatting.

All works cited in the text must appear in the Literature Cited section. Likewise, all works listed in Literature Cited must be cited in the text. Personal communication should also be cited in the text and listed in the Literature Cited.

The Literature Cited section should include entries that are single spaced with a double space between entries. The first line of an entry is aligned with the left margin. The second line and any additional lines of Literature Cited an entry should be indented with a .5” tab.
CHAPTER IX

REMINDERS AND CHECKLISTS

Please refer to the following checklists before submitting the thesis or dissertation to the Graduate College. Check each bullet as the item is completed. This will help save time during the final editing process.

Title Page

✓Example in Appendix B

_ 2 inch top margin.

_ Do not use bold on the page.

_ Title in all CAPS and double spaced in an inverted pyramid if more than one line is required.

_ University name is shown correctly (Texas State University-San Marcos).

_ Degree type that will be conferred upon graduation is in all CAPS. (Master of ARTS, Master of SCIENCE, etc.).

_ Degree type of previously earned degree(s) is abbreviated correctly (B.S., M.S., etc.) after student’s name.

_ Graduation month (December, May or August) and year are correct.
Signature Page

Be sure the signature pages are formatted correctly before printing them on archival quality paper for official committee signatures.

✓ Example in Appendix II

  2 inch top margin.

  Do not use bold on the page.

  Title in all CAPS and double spaced in an inverted pyramid if more than one line is required.

  All committee member names and Dean of the Graduate College name are spelled correctly and titles (Ph.D., Ed.D., etc.) are not included.

Copyright Page

  The text on this page must be centered. In order to center this page in Microsoft Word, go to “File”, then to “Page Setup”. On the “Layout” tab, under the “Page” heading that controls the “Vertical Alignment”, choose “Center”.

  After the Copyright page, a section break must be inserted in order to go back to the formatting needed for the remaining sections of the document.

  “COPYRIGHT” is the only word on this page that is in bold and all caps.

  Double space between all lines of text.

  Degrees and/or titles are not included with the student’s name.
Dedication Page

_ If a dedication page is included, formatting is not required to follow any specific guidelines, though it is suggested to use formatting that is similar to other sections of the document (2 inch margin, same font, etc).

Acknowledgements

✓ Example in Appendix B

_ 2 inch top margin.

_ “ACKNOWLEDGEMENTS” heading in all CAPS, bold and centered.

_ Last sentence indicates the date that the document was submitted to the committee for review.

_ This is the first numbered page. The number appears within the footer, centered and is a lower case Roman numeral.

Table of Contents

✓ For an example, view this manual’s Table of Contents.

_ 2 inch top margin.

_ "TABLE OF CONTENTS" heading in all CAPS, bold and centered.

_ Dot leaders with a right tab, not periods, are used to connect headings with page numbers.

_ Page numbers correspond exactly to the text.

_ Headings, chapter titles, and subheadings appear exactly as they are in the
Chapters are numbered and numbering is consistent between the Table of Contents and the text. Either Roman or Arabic is acceptable, but it must be consistent.

If one subheading is included, all subheadings must be included.

The Vita is not included.

Page(s) numbered; number appears within the footer, centered and is a lower case Roman numeral.

List of Tables and List of Figures

For an example, look at this manual’s List of Tables and List of Figures.

2 inch top margin.

Heading is in all CAPS, bold and centered.

Dot leaders with a right tab, not periods, are used to lead to page numbers.

Page numbers correspond exactly to the text.

Titles of tables/figures appear exactly as they are in the text.

The entire title of each table/figure is included. Only the title is included, the description of the caption is not included in the lists.

Page(s) numbered; number appears within the footer, centered and is a lower case Roman numeral.
Abstract

✓ Example in Appendix B

- 2 inch top margin.

- Title in all CAPS and double spaced in an inverted pyramid if more than one line is required.

- The word "by" is lower case.

- University name is shown correctly as referenced above.

- Supervising professor’s name is in all CAPS.

- Page(s) numbered; number appears within the footer, centered and is a lower case Roman numeral.

Text

Margins

- 2 inch top margin set for major headings, 1 inch top margin for all remaining pages.

- All left margins are set at 1 ½” for binding purposes. Right margins are 1 inch and top margins, other than listed above, are set at 1 inch.

Pagination

- Text pagination requires the first page number of each chapter appear in Arabic numbers in the footer, centered and not bold. Each text page thereafter appears in the header, right aligned and not bold.
Back matter is a continuation of the text pagination style using Arabic numerals.

All numbers appear within either a header or footer and are the same font and same size as the text.

Spacing

All text is double spaced except where single spacing is appropriate. Single spacing is allowed for:

☐ Subheadings that carry over onto two lines.

☐ Direct quotations longer than four lines in length.

☐ Table and figure titles and captions must be single spaced.

☐ Each reference entry must be single spaced with a double space between entries.

☐ Block quotations.

☐ Footnotes.

Triple spacing is required after each chapter heading and before the text begins.
Grammar and Punctuation

Grammar, punctuation and spelling are correct.

Use the word data correctly. Data is plural (datum is singular). The text should read: data were (not data was), these data (not this data), etc.

Acronyms and abbreviations appear correctly in document.

☐ Any usage of "et al." appears correctly in text, with the period after al.

☐ Acronyms and abbreviations are fully spelled out the first time mentioned in the text.

Commas and periods are inside quotation marks.

Semicolons and colons are outside quotation marks.

Be consistent in spacing after punctuation throughout the text.

Punctuation at the end of a sentence should preferably be followed by two spaces (some style manuals and scholarly journals specify one space).

The ampersand symbol (&) and abbreviation "vs." may be used in tables, figures and citations, but the words "and" and "versus" should be used in the text.

Texas State University-San Marcos is referenced correctly. The first time the university is mentioned in the text, it must appear as "Texas State University-San Marcos" thereafter the name appears as "Texas State."
Headings and Subheadings

- Are the headings and subheadings exactly the same in the Table of Contents as they are in the text?
- Is the subheading format style consistent?
- Are all chapter titles in all CAPS and centered?
- Are all subheadings in the same font size and style as the text and not italicized or boldfaced?

Tables and Figures

- Do the titles on the tables and figures match the titles in the List of Tables and List of Figures?
- Are table titles and descriptions above the tables? Are figure titles and descriptions below the figures?
- Captions do not extend beyond the margins of the table or figure.

Citations in the Text

- If the author’s name appears in the text as part of the narrative, only the date needs to be included in parentheses after the author’s name. If the author’s name does not appear as part of the text, the most common way to cite is at the end of the sentence using parentheses, last name and date. Example → (Jones, 2007)
- If two or more works are cited parenthetically by author-date, be
consistent in their order. Works cited by author-date may be listed chronologically from oldest to most recent work, chronologically from most recent to oldest work, or alphabetically.

Literature Cited

- This section may also be referred to as Bibliography, References, Works Cited, etc.

- Single space each entry and double space between entries.

- First line of entry is aligned at the left margin. Indent with a .5” tab space the second and any additional lines of the entry.

- Do not divide an individual entry between pages.

- Use consistency in spacing (one space or no space) between author’s initials.

- Check to make sure author’s names are spelled correctly.

- Check to make sure dates are correct and match those cited in text.

- Check to make sure titles of works cited and page numbers are correct.

- Use colons between the title and subtitle of a book or article.

- End all entries with a period.

- Use black font throughout the Literature Cited section, including any website listed.
Plagiarism

Kate Turabian (1996) makes the following statement about plagiarism:

By definition, a research paper involves the assimilation of prior scholarship and entails the responsibility to give proper acknowledgements whenever one is indebted to another for either words or ideas . . . . Failure to give credit is plagiarism (p 74).

Credit must be given for direct quotations, for paraphrases, for information, and for ideas. The exact methods of documenting sources will vary from one style guide to another, but the underlying principle remains the same. It is imperative that credit is given for material that is not the student’s own. Many reputations have been ruined and many careers destroyed by a failure to do so. A student at the graduate level who plagiarizes will meet with severe penalties. Please refer to the Texas State Student Handbook (http://www.ua.txstate.edu/studenthandbook/rules.html#academic), which states the Texas State Academic Honor Code.
Copyright

Copyrighted materials may not be reproduced (other than brief quotations and paraphrases) without written permission from the copyright holder.

Laws and University Regulations

It is the student’s responsibility to see that the proposed research procedures do not violate laws or university regulations. The student must be aware of and comply with any federal, state, local, or university regulations pertaining to the student’s research project.

If the student’s research project requires permits or permission from governmental or private agencies, any necessary permits and documentation must be obtained before the research project can begin.

Institutional Animal Care and Use Committee

If the student’s research project involves the use of vertebrate animals, the student and thesis/dissertation research advisor must understand university regulations governed by the Texas State Institutional Animal Care and Use Committee (IACUC). The Texas State IACUC oversees and evaluates all aspects of the care and use of vertebrate animals for research purposes. The research advisor (Committee Chair) must submit a Texas State-IACUC animal use protocol form and have an approval code issued before research can begin. The student and research advisor should refer to the Texas State IACUC website at
http://www.txstate.edu/osp/iacuc/ for additional information and to ascertain whether Texas State IACUC approval is required for the proposed research project.

Institutional Review Board

If a student’s research project involves human subjects, the student and research advisor must understand university regulations governed by the Texas State Institutional Review Board (IRB). An application must be submitted and be approved by the Texas State IRB before a research project involving the use of human subjects can begin. A CITI Course in the Protection of Human Subjects must be completed by all faculty supervising students submitting an IRB application and by all students submitting an IRB application. The student and research advisor should refer to the IRB website at http://www.txstate.edu/osp/irb/ for additional information and to ascertain whether IRB approval is required for the proposed research project.
APPENDIX B

EXAMPLES

This appendix includes examples of the title page, signature page, copyright page, and acknowledgements page. Please refer to the Guide’s Table of Contents, List of Tables and List of Figures to view the correct formatting for these sections.
TITLE OF THESIS/DISSERTATION USING ONE OR MORE LINES AS NEEDED

THESIS (DISSERTATION)

Presented to the Graduate Council of Texas State University-San Marcos in Partial Fulfillment of the Requirements for the Degree

Master of SCIENCE

by

James M. White, B.S.

San Marcos, Texas December 2007

Date of graduation, not date on which thesis/dissertation is complete.

This is the first page to be counted, but not numbered.
TITLE OF THESIS/ DISSERTATION DOUBLE SPACED
AND USING ONE OR MORE LINES
AS NEEDED

When page is printed for official signatures, paper quality should be archival quality as is other paper used for thesis and dissertation.

Include one line for each committee member name.

Verify that all committee members' names and dean's name are correct before submitting.

Approved:

Committee Members Approved:

Name of Committee Chair, Chair
(typed name of committee chair, followed by the word "Chair")

Thesis Committee Member
(typed name of committee member)

Thesis Committee Member
(typed name of committee member)

Approved:

J. Michael Willoughby (typed name of Dean)
Dean of the Graduate College
COPYRIGHT

by

James Michael White

2007
ACKNOWLEDGEMENTS

The acknowledgements page should have a two inch top margin. The word "ACKNOWLEDGEMENTS" in all caps, centered is the heading. A double space is next followed by the double spaced text.

Many students use this section to thank their committee members, fellow students, family members, or any person they feel has contributed significantly to their document. If you refer to the university, please be sure you do not place spaces before or after the hyphen. The correct formal name of the university is Texas State University-San Marcos.

This manuscript was submitted on (date on which your thesis was submitted to your committee).

(Page number ½ inch from the bottom of the page. This is the first numbered page. For this example, we are showing page "iv" because we counted the title page, signature page and copyright page)
ABSTRACT

TITLE OF THESIS/DISSERTATION USING

ONE OR MORE LINES

AS NEEDED

by

James Michael White, B.S.

Texas State University-San Marcos

December 2007

SUPERVISING PROFESSOR: PAULA WILLIAMSON

Indent and begin typing your abstract. The abstract is a continuous summary, not disconnected note or an outline, and is brief and to the point. The text of the abstract is double-spaced or one and one-half spaced.
VITA

James Michael White was born in Wimberley, Texas, on June 22, 1982, the son of Elizabeth Garner White and Richard Joel White. After completing his work at Wimberley High School, Wimberley, Texas, in 2000, he entered Texas State University-San Marcos. During the summer of 2002, he attended the University of New Mexico in Albuquerque. He received the degree of Bachelor of Science from Texas State in December 2004. During the following years he was employed as a research technician with the Texas Parks and Wildlife Service in San Marcos, Texas. In January 2005, he entered the Graduate College of Texas State University-San Marcos.

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San Marcos, Texas 78666

This thesis was typed by James M. White.