Definition of “PHS Funding” (Applicability of PHS 2011 Revised Regulations on FCOI)

The U.S. Department of Health and Human Services (DHHS) issued a final rule in the Federal Register in August, 2011 that amended the Public Health Service (PHS) regulations on “Responsibility of Applicants for Promoting Objectivity in Research for which PHS Funding is Sought” (42 C.F.R. Part 50, Subpart F). These regulations, as revised, are often referred to as “The Final Rule,” “the 2011 Revised Regulations,” or “the NIH FCOI policy.”

Institutions applying for or receiving PHS research funding were required to be in full compliance with all of the revised regulatory requirements no later than 365 days after publication of the regulation in the Federal Register, i.e., August 24, 2012.

PHS research funding means grants and cooperative agreements funded by PHS Awarding Components.

The following agencies are PHS Awarding Components, also often collectively referred to as “PHS agencies,” “PHS funding components,” or “PHS funders.”

- Agency for Healthcare Research and Quality (AHRQ)
- Agency for Toxic Substances and Disease Registry (ATSDR)
- Centers for Disease Control and Prevention (CDC)
- Food and Drug Administration (FDA)
- Health Resources and Services Administration (HRSA)
- Indian Health Service (IHS)
- Substance Abuse and Mental Health Services Administration (SAMHSA)
- Administration for Children and Families (ACF)
- Administration for Community Living (ACL)
- Centers for Medicare & Medicaid Services (CMS)
- National Institutes of Health (NIH)
  - National Cancer Institute (NCI)
  - National Eye Institute (NEI)
  - National Heart, Lung, and Blood Institute (NHLBI)
  - National Human Genome Research Institute (NHGRI)
  - National Institute on Aging (NIA)
  - National Institute on Alcohol Abuse and Alcoholism (NIAAA)
  - National Institute of Allergy and Infectious Diseases (NIAID)
  - National Institute of Arthritis and Musculoskeletal and Skin Diseases (NIAMS)
  - National Institute of Biomedical Imaging and Bioengineering (NIBIB)
  - Eunice Kennedy Shriver National Institute of Child Health and Human Development (NICHD)
- National Institute on Deafness and Other Communication Disorders (NIDCD)
- National Institute of Dental and Craniofacial Research (NIDCR)
- National Institute of Diabetes and Digestive and Kidney Diseases (NIDDK)
- National Institute on Drug Abuse (NIDA)
- National Institute of Environmental Health Sciences (NIEHS)
- National Institute of General Medical Sciences (NIGMS)
- National Institute of Mental Health (NIMH)
- National Institute on Minority Health and Health Disparities (NIMHD)
- National Institute of Neurological Disorders and Stroke (NINDS)
- National Institute of Nursing Research (NINR)
- National Library of Medicine (NLM)
- Center for Information Technology (CIT)
- Center for Scientific Review (CSR)
- John E. Fogarty International Center (FIC)
- National Center for Advancing Translational Sciences (NCATS)
- National Center for Complementary and Alternative Medicine (NCCAM)
- NIH Clinical Center (CC)

- Non-PHS Agencies that have adopted PHS regulations
  - Alliance for Lupus Research
  - American Cancer Society
  - American Heart Association
  - Arthritis Foundation
  - Susan G. Komen for the Cure