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| **Texas State University-San Marcos**  **Office of Internal Audit**  **Ethics, Compliance or Fraud Report Form** | | | | | | **Date:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_** | | |
| Details concerning the situation (attach additional pages as necessary): | | | | | | | | |
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| What University Department(s) is involved?\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | | | | | | | |
| Which best describes the subject of the concern: | | | | | | | | |
| [ ] Faculty | [ ] Staff | | [ ] Student | | [ ] University Contractor | | | [ ] Other |
| What best represents the nature of the concern: | | | | | | | | |
| [ ] Ethics violation/conflicts of interest | | | | [ ] Compliance concern | | | | |
| [ ] Environmental violation | | | | [ ] Embezzlement, misuse of funds or assets | | | | |
| [ ] False statements, Certifications, etc. | | | | [ ] Kickbacks, bribes, extortion | | | | |
| [ ] Mismanagement, waste | | | | [ ] Other | | | | |
| Has this been reported to any other person or department? [ ] Yes [ ] No  If yes, then to whom and when?\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | | | | | | | |
| How does the Complainant wish to be identified? | | | | | | | | |
| [ ] Anonymous | | [ ] Confidential | | | | | [ ] No Restriction | |
| Complainant Information (Not required of those who wish to remain anonymous) | | | | | | | | |
| First name:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | | | Last name:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | | | |
| Work address:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | | | Email:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | | | |
| Work phone:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | | | Cell phone:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | | | |
| Do you want to be notified about the review/investigation result (Not applicable to those who wish to remain anonymous)? [ ] Yes [ ] No  **Send by mail to:**  **Send by email to:** 601 University Drive  [audit@txstate.edu](mailto:audit@txstate.edu) San Marcos, Texas 78666-4615  JCK Suite 850 | | | | | | | | |