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| **Texas State University-San Marcos****Office of Internal Audit****Ethics, Compliance or Fraud Report Form** | **Date:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_** |
| Details concerning the situation (attach additional pages as necessary): |
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| What University Department(s) is involved?\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| Which best describes the subject of the concern: |
| [ ] Faculty | [ ] Staff | [ ] Student | [ ] University Contractor | [ ] Other |
| What best represents the nature of the concern: |
| [ ] Ethics violation/conflicts of interest | [ ] Compliance concern |
| [ ] Environmental violation | [ ] Embezzlement, misuse of funds or assets |
| [ ] False statements, Certifications, etc. | [ ] Kickbacks, bribes, extortion |
| [ ] Mismanagement, waste | [ ] Other |
| Has this been reported to any other person or department? [ ] Yes [ ] NoIf yes, then to whom and when?\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| How does the Complainant wish to be identified?  |
| [ ] Anonymous | [ ] Confidential | [ ] No Restriction |
| Complainant Information (Not required of those who wish to remain anonymous) |
| First name:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | Last name:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| Work address:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | Email:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| Work phone:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | Cell phone:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| Do you want to be notified about the review/investigation result (Not applicable to those who wish to remain anonymous)? [ ] Yes [ ] No **Send by mail to:** **Send by email to:** 601 University Drive audit@txstate.edu San Marcos, Texas 78666-4615 JCK Suite 850 |